



JFW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : S. Postle et al. )  
Serial No : 10/709,827 )  
Filed : June 1, 2004 )  
For : SYSTEM AND METHOD FOR )  
CONTROLLING METAMERISM )  
)  
)  
)  
)  
)  
Docket No. : C-579

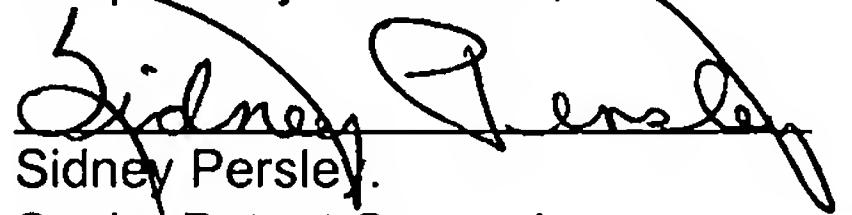
INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

As a means of complying with the duty of disclosure, applicants submit a "List of References Cited by Applicant" on a modified PTO-1449 form. The listed documents are already of record in a similar Patent Application, United States Serial No. 09/931,678, with the exception of US 6,342,952. Therefore, pursuant to 37 C.F.R. 1.98 (d), copies of the documents are not being submitted.

Respectfully submitted,

  
Sidney Persley  
Senior Patent Counsel  
Registration No. 34,898  
Telephone (201) 224-4600 Ext. 278

Sun Chemical Corporation  
Law Division  
222 Bridge Plaza South  
Fort Lee, NJ 07024

Certificate of Mailing

I hereby certify that this correspondence (and any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail on the date below in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Washington, DC 22313-1450

08-27-04 Nancy Stottle



ATTY DOCKET NO.  
C-527SERIAL NO.  
09/931,678

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

G. Stone et al.

FILING

08/16/01

GROUP

1862

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,813,000	03/14/89	Wyman et al.	364	526	07/09/86
	AB	4,649,502	03/10/87	Keller et al.	364	519	10/29/84
	AC	5,141,323	08/25/92	Kipphan et al.	356	406	09/11/89
	AD	5,182,721	01/26/93	Kipphan et al.	364	526	09/28/90
	AE	5,400,138	03/21/95	Peterson et al.	356	319	11/20/92
	AF	5,680,327	10/21/97	Cook et al.	364	526	03/21/95
	AG	5,668,633	09/16/97	Cheetam et al.	356	402	10/03/95
	AH	5,720,017	02/17/98	Cheetam et al.	395	131	10/30/95
	AI	5,774,230	06/30/98	Goto	358	298	05/23/96
	AJ	5,841,121	11/24/98	Cheetam et al.	345	150	12/18/95
	AK	6,015,809	01/18/00	Zhu et al.	514	210	08/16/99

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	GB 2,071,473	09/23/81	Great Britain	B41F	33/00		
	BB	GB 2,107,047	04/20/83	Great Britain	G01N	21/27		
	BC	GB 2,289,018	11/08/95	Great Britain	B41F	33/00		
	BD	DE 19637234	03/26/98	Germany (Abstract)	G01J	3/46		
	BE	DE 4237004	05/05/94	Germany (Abstract)	B41F	33/10		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Crim, Elias, CONTROLLING DIGITAL COLOR, American Printer, November 1997, pp. 26,28, and 30.
CB	Stoy, John W., PALETTE CONTROL MADE EASY, Electronic Publishing, May 1997, pp. 46-47.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>			ATTY DOCKET NO. C-527		SERIAL NO. 09/931,678			
			G. Stone et al.		FILING 08/16/01		GROUP 1862	
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AL	6,040,902	03/21/00	Jung et al.	356	73	08/12/97	
	AM	6,043,894	03/28/00	Van Aken et al.	356	425	07/10/98	
	AN	6,108,095	08/22/00	Graf	356	425	08/27/99	
	AO	6,192,147	02/20/01	Bucher et al.	382	165	01/14/98	
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BF	DE 4229267	03/09/94	Germany (Abstract)	B41F	33/00		
	BG	DE 3714179	11/17/88	Germany (Abstract)	B41F	33/10		
	BH	DE 3707027	09/15/88	Germany (Abstract)	B41M	1/14		
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	CC	Loving-Neale, Deb, DIGITAL COLOR FIDELITY IN THE 1990S: ATTACKING COLOR SHIFTS, Electronic Publishing, August 1994, pp.14-16, and 18.						
	CD	MATCHING SYSTEM ENSURES ACCURACY, Printing Impressions, October 1990, pp. 36H-36K.						
EXAMINER				DATE CONSIDERED				

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)	C-527	Application Number	09/931,678
Applicant(s) G. Stone et al.			
Filing Date	08/16/01	Group Art Unit	1862

EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
CE	Bonham, J.S., Flowers, A.G., Johnson, L.B., ON-LINE COLOR CONTROL FOR MECHANICAL PAPERS, Pulp & Paper Canada, April 1990, pp. 43-46.		
CF	Kaverman, John, COLOR MATCHING FOR PAD PRINTING, Screen Print, December 1999m pp. 38-40, 42,44, and 46.		
CG	Brigss, John C., Forrest, David J., Tse, Ming-kai, RELIABILITY ISSUES FOR COLOR MEASUREMENT IN QUALITY CONTROL APPLICATIONS, NIP 14:International Conference on Digital Printing Technologies, Toronto, CA, October 1998, pp. 595-602.		
CH	PROLINK COLOR GUARD COLOR-MATCHING SOFTWARE, Screen Printing, November 1995, pp. 132.		
CI	1995 TAPPI Conference- Dyes, Fillers & Pigments Short Course, Chicago, IL, April 26-28, 1995, pp. 401-18.		
CJ	Sule, A D, RECENT DEVELOPMENTS IN COLOUR MEASUREMENT AND COLOUR MANAGEMENT, Indian Journal of Fibre & Textile Research, Volume 21, March 1996, pp. 64-68		
CK	Holland, Caryl, COLOR IMPACT & CONTROL, American Ink Maker, September 2000, pp. 14-17.		
CL	Rosen, Mitchell, Fairchild, Mark D., Johnson, Garrett M., Wyble, David R., COLOR MANAGEMENT WITHIN A SPECTRAL IMAGE VISUALIZATION TOOL, Munsell Color Science Laboratory, Rochester Institute of Technology Rochester, NY.		
CM	Mijdam, Edward, COMPUTER-CONTROLLED COLOR MATCHING OF FLEXOGRAPHIC INKS IN A PRODUCTION PRINTING ENVIRONMENT, American Ink Maker, August 2001, pp. 44-49.		
CN	Tolliber-Nigro, Heidi, THE PACKAGING WORKFLOW: WHAT'S REALLY GOING ON IN THERE?, American Ink Maker, June 2001, pp. 30, 32, 36, 37, 59, and 60.		
CO	Taskar, Milind; Kannan, R., COLOUR MAKER-AN INFINITE SHADE CARD AND THE COLOUR TREND SETTER OF SHADES IN THE INDUSTRY, Pigment and Resin Technology, Volume 27, pp. 9-11.		
CP	Datacolor Press Release, Lawrenceville, NJ, July 11, PRNewswire.		

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

C-527

Application Number

09/931,678

Applicant(s)

Stone et al.

Filing Date

08/16/01

Group Art Unit

2622

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,843,574	06/27/89	Gerber	364	526	12/07/87
	AB	5,195,043	03/16/93	Varner	364	410	03/02/90
	AC	5,555,505	09/10/96	Oosawa et al.	364	470.07	11/28/94
	AD	5,406,475	04/11/95	Kouchi et al.	364	401	04/30/93
	AE	5,450,314	09/12/95	Kagami et al.	364	148	03/18/92
	AF	5,877,966	03/02/99	Morris et al.	364	512	03/18/96
	AG	6,233,496 B1	05/15/01	Ippitsu	700	133	01/06/98

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BA	EP 0 825 506 A2	02/25/98	EPO	G05B	19/418		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.